



Request for Qualifications

July 1, 2026 through June 30, 2027

In-Home Services

Forms and Other Attachments

Forms and Other Attachments

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- Certificate of Good Standing from Missouri Secretary of State
- Current SAM's Registration
- Organizational Chart
- List of Board of Directors- must include name, mailing address, phone number and email address
- Copy of Board of Directors By-laws
- Participation Agreement with Missouri Health Net or Veterans Administration
- Policy and Procedures
- Proof of Insurance
- Job Descriptions
- COOP/Disaster Plan

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RFQ CHECKLIST

This checklist **must be** completed and submitted with your RFQ 2027.

1. GENERAL INFORMATION

REQUIRED FORMS	Enclosed
1.1 General Information	
1.2 General Assurances	
1.3 Debarment	
1.4 Lobbying	
1.5 Business Entity Certification	

2. SUPPORTING INFORMATION

REQUIRED FORMS	Enclosed
2.1 Provider Profile	
2.2 Service Commitment Area	
2.3 Scope of Work	

3. OTHER REQUIRED ATTACHMENTS

REQUIRED ATTACHMENTS	Enclosed
1. Certificate of Good Standing from Missouri Secretary of State	
2. Current SAM's Registration	
3. Copy of your Organizational Chart	
4. Copy of your Board of Directors for SFY 2027	
5. Current Copy of your Board By-Laws	
6. Signed copy of your updated Participation Agreement with the Missouri Health Net program or Veterans Administration Agreement.	
7. Policy and procedures regarding Grievance procedures-for service recipients. Provide a copy of what is given to the participant to inform them of those procedures.	
8. Policy and procedures for informing participants and/or their representatives and employees of the Clients Rights, Code of Ethic and confidentiality statement. The confidentiality statement must include both medical and personal information. Include a copy of the Client Rights, Code of Ethics and confidentiality statement to be distributed.	
9. Policy and procedure regarding elder abuse, neglect and exploitation including the identification and reporting.	
10. Policy and procedures used for closing or discontinuing services to authorized participants.	

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11. Policy and procedures regarding staff providing services for family members, residing with participants and transporting participants.	
12. Policy and procedures regarding staff providing services to clients when the staff member is ill.	
13. Policy and procedures for telephone contact with Young at Heart Resources and/or participants during and after business hours.	
14. Policy and procedures for preventing and detecting conduct or actions that are improper or abusive to Young at Heart Resources, misappropriation of participant property and/or funds, falsification of service delivery and/or falsification of Young at Heart Resources records.	
15. Policy and procedures for staff training attendance ensuring that all staff meet the attendance requirement.	
16. Proof of insurance for	
a. Comprehensive General Liability	
b. Bonding Insurance	
c. Workmen's Compensation Insurance	
17. Job description for all in-home service positions, including supervisory staff	
18. Current COOP/Disaster Plan for SFY 2027 and after-hours numbers.	

1.1 GENERAL INFORMATION

PARTICIPATING AGENCY: _____
(Exactly as it appears in the Articles of Incorporation)

ADDRESS: (the address to which all correspondence, payments, contracts, etc., are to be sent)

TELEPHONE NUMBER: _____ FAX NUMBER: _____

EMAIL ADDRESS: _____

STATUS: For-Profit: _____ Not-For-Profit: _____
501(c)(3) TAX EXEMPT: Yes _____ No _____

AUTHORIZED SIGNATURES

1. *Typed name: _____ **Signature: _____

Title: _____

Documents authorized to sign:

2. *Typed name: _____ **Signature: _____

Title: _____

Documents authorized to sign:

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3. *Typed name: _____ **Signature: _____

Title: _____

Documents authorized to sign:

4. *Typed name: _____ **Signature: _____

Title: _____

Documents authorized to sign:

5. *Typed name: _____ **Signature: _____

Title: _____

Documents authorized to sign:

6. *Typed name: _____ **Signature: _____

Title: _____

Documents authorized to sign:

* Names may be typed or printed

** Signatures must be original signatures

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1.2 GENERAL ASSURANCES

The Participating Organization submits herewith the RFQ as required and hereby agrees to administer any resulting agreement in accordance with the regulations, policies and procedures prescribed by Young at Heart Resources and the Missouri Department of Health and Senior Services.

AUTHORITY AND CAPACITY	The organization assures that it has the authority and capacity to carry out the services within the service delivery area (SDA). The organization has on file Articles of Incorporation with the Missouri Secretary of State, where applicable, and Certificate of Good Standing.
CONTRIBUTIONS FOR SERVICES	The organization understands and agrees that all services provided under this agreement are subject to Older Americans Act contribution regulations. The organization also understands and agrees they will not collect any contributions from Young at Heart Resources clients. If contributions are received by the organization, they will be forwarded to Young at Heart Resources. This does not prohibit an organization from collecting payment for services provided to a Young at Heart Resources client that are not part of the care plan and paid for by Young at Heart Resources.
STAFFING	The organization assures that the services will be directed by management qualified through education or experience, and that adequate numbers of qualified staff, including members of minority groups and volunteers, will be available throughout the agreement period to carry out the services. Subject to the requirement of merit employment systems of local government, preference will be given to persons aged 60 or over for any staff positions (full or part-time) for which such persons qualify. Job descriptions will be on file at the organization and attached to this RFQ. The organization assures that it will maintain service delivery on weekends, holidays, inclement weather, worker absence, vacations and labor shortage in compliance with 19 CSR 15-7.021.
TRAINING	The organization will make provisions for training that meet the requirements as defined in 19 CSR 15-7.021 for personnel necessary to carry out the proposed services. Complete documentation of such training shall be kept on file at the organization's office and made available upon request. The training shall include a schedule and short description of each topic. Include policies and procedures for ensuring that all staff meet the attendance requirements for new and current personnel and attach to this RFQ.

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<p>CONFIDENTIALITY</p>	<p>The organization will assure that no information which is in the possession of the organization providing services under this contract, obtained from or about a service recipient, shall be disclosed in an identifiable form without the informed consent of the individual, except as required in RSMo 660.300 regarding reports made to the Central Registry Unit of DHSS.</p>
<p>COMPLIANCE REQUIREMENTS</p>	<ul style="list-style-type: none"> a. Equal Employment Opportunity, as amended b. Fair Labor Standards Act, as amended c. Fair Employment Practices Act, as amended d. Age Discrimination Act, as amended e. Copeland “Anti-Kickback” Act, as amended f. Davis-Bacon Act, as amended g. Contract Work Hours and Safety Standards Act, as amended h. Clean Air Act/Clean Water Act/EPA Regulations, as amended i. Americans with Disabilities Act (ADA), as amended j. Civil Rights Act, as amended and all other applicable federal and state laws, regulations and executive orders relating to the foregoing. k. Public Law 100-175 “Older Americans Act,” as amended l. 2CFR Part 200 Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. m. 45 CFR Part 80 “Nondiscrimination under Programs Receiving Federal Assistance through the Department of Health and Human Services. Effectuation of Title VI of the Civil Rights Act of 1964,” as amended n. 45 CFR Part 84 “Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Participation,” as amended o. 45 CFR Part 91 “Nondiscrimination on the Basis of Age in HHS Programs or Activities Receiving Federal Financial Assistance,” as amended p. 45 CFR Part 92 “Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments,” as amended q. 45 CFR Part 1321 “ Grants to State and Community Programs on Aging,” as amended 19 CSR 15-4 “Older Americans Act,” as amended, & 19 CSR 15-7 “Service Standards,” as amended and 19 CSR 70.91.010 – Personal Care r. RSMo 285.525 to 285.550 Unauthorized Alien Work Authorization and Documentation in the State of Missouri <p>The organization also agrees to comply with the following statutory and regulatory requirements when applicable:</p>

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	<ul style="list-style-type: none"> • Sections 210.900 through 210.936, RSMo – Family Care Safety Registry (FCSR) • Sections 210.109 through 210.183, RSMo – Abuse or Neglect of child • Section 565.188, RSMo – Report of Elder Abuse • Section 610.120, RSMo – Closed Records • Section 660.050, RSMo – Alzheimer’s Disease • Section 660.250 through 660.320, RSMo including: <ul style="list-style-type: none"> ○ Section 660.315 – Employee Disqualification List ○ Section 660.317 – Criminal Background Checks • 19 CSR 30-82.060 – Hiring Restrictions – Good Cause Waiver • 19 CSR 15-7.021- Insurance and Bonding and to be maintained during the length of this agreement and attached to this RFQ.
<p>CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION –</p> <p>Lower Tier Covered Transactions</p>	<p>This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98 section 98.510, Participants’ responsibilities. The regulation was published as Part II of the June 26, 1985, Federal Register (pages 33.036-33.042).</p> <ol style="list-style-type: none"> 1. The organization (lower tier participant) certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or Agency. 2. Where the organization (lower tier participant) is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.
<p>ACCURACY OF INFORMATION</p>	<p>The organization assures that it will maintain, monitor and update all web-site information on a regular basis, and as necessary. Electronic information includes, but is not limited to, the organization’s website and all information pertaining to web-based information for use of clients. The provider assures that it will maintain a working computer at its main location with access to the internet, in order to enter data, retrieve information, and transmit information to Young at Heart Resources. The provider assures that it will maintain a regular email account and a Vaultmail (secure) account for transmitting and receiving information from Young at Heart Resources and agrees to check the email account periodically throughout each business day.</p>
<p>AFFIRMATIVE ACTION PLAN</p>	<p>The organization assures that, if applicable, it will have an Affirmative Action Plan which complies with the principles of 8 CSR 60-3.080. The Affirmative Action Plan will be available upon request.</p>
<p>MARRIAGE</p>	<p>The organization assures it will comply with the following directive as set forth within Older Americans Act grant awards:</p>

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	<p>United States v. Windsor, 133 S.Ct. 2675 (June 26, 2013); section 3 of the Defense of Marriage Act, codified at 1 USC § 7. All grantees are expected to recognize any same-sex marriage legally entered into in a U.S. jurisdiction that recognizes their marriage, including one of the 50 states, the District of Columbia, or a U.S. territory, or in a foreign country so long as that marriage would also be recognized by a U.S. jurisdiction. This applies regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. However, this does not apply to registered domestic partnerships, civil unions or similar formal relationships recognized under the law of the jurisdiction of celebration as something other than a marriage. Accordingly, recipients must review and revise, as needed, any policies and procedures which interpret or apply federal statutory or regulatory references to such terms as “marriage,” “spouse,” “family,” “household member” or similar references to familial relationships to reflect inclusion of same-sex spouse and marriages. Any similar familial terminology references in HHS statutes, regulations, or policy transmittals will be interpreted to include same-sex spouses and marriages legally entered into as described herein.</p>
<p>AMENDMENTS TO THE RFQ</p>	<p>The organization assures that it will, prior to implementation; submit for approval to Young at Heart Resources necessary documentation of substantial changes, additions or deletions to this RFQ in accordance with the terms and conditions of this proposal and any succeeding agreement.</p>
<p>INTENT TO COMPLY AND PROVIDE SERVICE</p>	<p>The organization, having examined the instructions and specifications, hereby proposes to perform the service(s) in accordance with the Participation Agreement requirements, specifications and standards at a rate not to exceed the Medicaid rate established by the State of Missouri. The organization, upon notification of an agreement award, will begin services on July 1, 2026, and will carry out service provision as assigned through June 30, 2027.</p>

The undersigned, on behalf of the organization, assures compliance with the above.

Authorized Signature

Date Signed

Signer’s Title

1.3 DEBARMENT

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98, Section 98.510, Participants' Responsibilities. The regulations were published as Part II of the June 26, 1985, Federal Register (pages 33, 036-33, 043).

Read Instruction for Certification below prior to completing this certification.

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
- (2) Where the prospective lower-tier participant is unable to certify any of the statements in this certification, such prospective participants shall attach an explanation to this proposal.

Date

Signed – Authorized Representative

Title of Authorized Representative

Instructions for Certification

Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion –Lower Tier Covered Transaction

1. By signing and submitting this agreement, the prospective recipient of federal assistance funds is providing the certification as set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective recipient of federal assistance funds knowingly rendered an erroneous certification, in addition to other remedies available to the federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

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3. The prospective recipient of federal assistance funds shall provide immediate written notice to the person to whom this agreement is submitted if at any time the prospective recipient of federal assistance funds learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms “covered transaction,” “debarred,” “suspended,” “ineligible,” “lower tier covered transaction,” “participant,” “person,” “primary covered transaction,” “principal,” and “voluntarily excluded,” as used in this clause, have meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. In addition, the term “agreement,” as used in this clause, is deemed to have the same meaning as “proposal.” You may contact the person to whom this agreement is submitted for assistance in obtaining a copy of those regulations.
5. The prospective recipient of federal assistance funds agrees by submitting this agreement that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible or voluntarily excluded from participation in this covered transaction, unless authorized by the DOL.
6. The prospective recipient of federal assistance funds further agrees by submitting this agreement that it will include the clause titled “Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions,” without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not ineligible or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to check the List of Parties Excluded from Federal Procurement and Non-procurement Programs.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible or voluntary excluded from participation in this transaction, in addition to other remedies available to the federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

I.4 LOBBYING

Certification Regarding Lobbying

Certification for Contract, Grants, Loans and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No federal appropriate funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any federal contract, grant, loan or cooperative agreement.
2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit a "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Agency

State

Authorized Signature

Date

Title

1.5 Business Entity Certification, Enrollment Documentation, and Affidavit of Work Authorization Business Entity Certification

Health Insurance Portability and Accountability Act of 1996, as amended – Young at Heart Resources and the contractor are both subject to and must comply with provisions of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), as amended by the Health Information Technology for Economic and Clinical Health Act (HITECH) (PL-111-5) (collectively, and hereinafter, HIPAA) and all regulations promulgated pursuant to authority granted therein. The contractor constitutes a “Business Associate” of Young at Heart Resources. Therefore, the term, “contractor” as used in this section shall mean “Business Associate.”

The contractor agrees that for purposes of the Business Associate Provisions contained herein, terms used but not otherwise defined shall have the same meaning as those terms defined in 45 CFR Parts 160 and 164 and 42 U.S.C. §§ 17921 *et. seq.* including, but not limited to the following:

- a. “Access,” “administrative safeguards,” “confidentiality,” “covered entity,” “data aggregation,” “designated record set,” “disclosure,” “hybrid entity,” “information system,” “physical safeguards,” “required by law,” “technical safeguards,” “use” and “workforce” shall have the same meanings as defined in 45 CFR 160.103, 164.103, 164.304, and 164.501 and HIPAA.
- b. “Breach” shall mean the unauthorized acquisition, access, use, or disclosure of Protected Health Information which compromises the security or privacy of such information, except as provided in 42 U.S.C. § 17921. This definition shall not apply to the term “breach of contract” as used within the contract.
- c. “Business Associate” shall generally have the same meaning as the term “business associate” at 45 CFR 160.103, and in reference to the party to this agreement, shall mean the contractor.
- d. “Covered Entity” shall generally have the same meaning as the term “covered entity” at 45 CFR 160.103, and in reference to the party to this agreement, shall mean Young at Heart Resources.
- e. “Electronic Protected Health Information” shall mean information that comes within paragraphs (1)(i) or (1)(ii) of the definition of Protected Health Information as specified below.
- f. “Enforcement Rule” shall mean the HIPAA Administrative Simplification: Enforcement; Final Rule at 45 CFR Parts 160 and 164.
- g. “HIPAA Rules” shall mean the Privacy, Security, Breach Notification, and Enforcement Rules at 45 CFR Part 160 and Part 164.
- h. “Individual” shall have the same meaning as the term “individual” in 45 CFR 160.103 and shall include a person who qualifies as a personal representative in accordance with 45 CFR 164.502 (g).
- i. “Privacy Rule” shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR Part 160 and Part 164, Subparts A and E.
- j. “Protected Health Information” as defined in 45 CFR 160.103, shall mean individually identifiable health information:

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(a) Except as provided in paragraph (b) of this definition, that is: (i) Transmitted by electronic media; or (ii) Maintained in electronic media; or (iii) Transmitted or maintained in any other form or medium.

(b) Protected Health Information excludes individually identifiable health information in (i) Education records covered by the Family Educational Rights and Privacy Act, as amended, 20 U.S.C. 1232g; (ii) Records described at 20 U.S.C. 1232g(a)(4)(B)(iv); and (iii) Employment records held by a covered entity (state agency) in its role as employer.

- k. “Security Incident” shall be defined as set forth in the “Obligations of the Contractor” section of the Business Associate Provisions.
- l. “Security Rule” shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 CFR Part 164, Subpart C.
- m. “Unsecured Protected Health Information” shall mean Protected Health Information that is not secured through the use of a technology or methodology determined in accordance with 42 U.S.C. § 17932 or as otherwise specified by the secretary of Health and Human Services.

The contractor agrees and understands that wherever in this document the term Protected Health Information is used, it shall also be deemed to include Electronic Protected Health Information.

The contractor must appropriately safeguard Protected Health Information which the contractor receives from or creates or receives on behalf of Young at Heart Resources. To provide reasonable assurance of appropriate safeguards, the contractor shall comply with the business associate provisions stated herein, as well as the provisions of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), as amended by the Health Information Technology for Economic and Clinical Health Act (HITECH) (PL-111-5) and all regulations promulgated pursuant to authority granted therein.

Young at Heart Resources and the contractor agree to amend the contract as is necessary for the parties to comply with the requirements of HIPAA and the Privacy Rule, Security Rule, Enforcement Rule, and other rules as later promulgated (hereinafter referenced as the regulations promulgated thereunder). Any ambiguity in the contract shall be interpreted to permit compliance with the HIPAA Rules.

Permitted Uses and Disclosures of Protected Health Information by the Contractor:

- 1. The contractor may not use or disclose Protected Health Information in any manner that would violate Subpart E of 45 CFR Part 164 if done by Young at Heart Resources, except for the specific uses and disclosures in the contract.
- 2. The contractor may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, Young at Heart Resources as specified in the contract, provided that such use or disclosure would not violate HIPAA and the regulations promulgated thereunder.
- 3. The contractor may use Protected Health Information to report violations of law to appropriate federal and state authorities, consistent with 45 CFR 164.502(j)(1) and shall notify the state agency

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by no later than ten (10) calendar days after the contractor becomes aware of the disclosure of the Protected Health Information.

4. If required to properly perform the contract and subject to the terms of the contract, the contractor may use or disclose Protected Health Information, if necessary, for the proper management and administration of the contractor's business.
5. If the disclosure is required by law, the contractor may disclose Protected Health Information to carry out the legal responsibilities of the contractor.
6. If applicable, the contractor may use Protected Health Information to provide Data Aggregation services to Young at Heart Resources as permitted by 45 CFR 164.504(e)(2)(i)(B).
7. The contractor may not use Protected Health Information to de-identify or re-identify the information in accordance with 45 CFR 164.514(a)-(c) without specific written permission from Young at Heart Resources to do so.
8. The contractor agrees to make uses and disclosures and requests for Protected Health Information consistent with Young at Heart Resources' minimum necessary policies and procedures.

Obligations and Activities of the Contractor:

The contractor shall not use or disclose Protected Health Information other than as permitted or required by the contract or as otherwise required by law, and shall comply with the minimum necessary disclosure requirements set forth in 45 CFR § 164.502(b).

The contractor shall use appropriate administrative, physical and technical safeguards to prevent use or disclosure of the Protected Health Information other than as provided for by the contract. Such safeguards shall include, but not be limited to:

- a. Workforce training on the appropriate uses and disclosures of Protected Health Information pursuant to the terms of the contract;
- b. Policies and procedures implemented by the contractor to prevent inappropriate uses and disclosures of Protected Health Information by its workforce and subcontractors, if applicable;
- c. Encryption of any portable device used to access or maintain Protected Health Information or use of equivalent safeguard;
- d. Encryption of any transmission of electronic communication containing Protected Health Information or use of equivalent safeguard; and
- e. Any other safeguards necessary to prevent the inappropriate use or disclosure of Protected Health Information.

With respect to Electronic Protected Health Information, the contractor shall use appropriate administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the Electronic Protected Health Information that contractor creates, receives, maintains or transmits on behalf of Young at Heart Resources and comply with Subpart C of 45 CFR Part 164, to prevent use or disclosure of Protected Health Information other than as provided for by the contract.

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In accordance with 45 CFR 164.502(e)(1)(ii) and 164.308(b)(2), the contractor shall require that any agent or subcontractor that creates, receives, maintains, or transmits Protected Health Information on behalf of the contractor agrees to the same restrictions, conditions, and requirements that apply to the contractor with respect to such information.

By no later than ten (10) calendar days after receipt of a written request from Young at Heart Resources, or as otherwise required by state or Federal law or regulation, or by another time as may be agreed upon in writing by the state agency, the contractor shall make the contractor's internal practices, books, and records, including policies and procedures and Protected Health Information, relating to the use and disclosure of Protected Health Information received from, created by, or received by the contractor on behalf of Young at Heart Resources available to Young at Heart Resources and/or to the Department of Health and Senior Services or designee for purposes of determining compliance with the HIPAA Rules and the contract.

The contractor shall document any disclosures and information related to such disclosures of Protected Health Information as would be required for Young at Heart Resources to respond to a request by an individual for an accounting of disclosures of Protected Health Information in accordance with 42 USCA §17932 and 45 CFR 164.528. By no later than five (5) calendar days of receipt of a written request from Young at Heart Resources, or as otherwise required by state or federal law or regulation, or by another time as may be agreed upon in writing by Young at Heart Resources, the contractor shall provide an accounting of disclosures of Protected Health Information regarding an individual to Young at Heart Resources. If requested by Young at Heart Resources or the individual, the contractor shall provide an accounting of disclosures directly to the individual. The contractor shall maintain a record of any accounting made directly to an individual at the individual's request and shall provide such record to Young at Heart Resources upon request.

In order to meet the requirements under 45 CFR 164.524, regarding an individual's right of access, the contractor shall, within five (5) calendar days following a Young at Heart Resources request, or as otherwise required by state or federal law or regulation, or by another time as may be agreed upon in writing by Young at Heart Resources, provide Young at Heart Resources access to the Protected Health Information in an individual's designated record set. However, if requested by Young at Heart Resources, the contractor shall provide access to the Protected Health Information in a designated record set directly to the individual for whom such information relates.

At the direction of Young at Heart Resources, the contractor shall promptly make any amendment(s) to Protected Health Information in a Designated Record Set pursuant to 45 CFR 164.526.

The contractor shall report to Young at Heart Resources' HIPAA Officer any security incident immediately upon becoming aware of such incident and shall take immediate action to stop the continuation of any such incident. For purposes of this paragraph, security incident shall mean the attempted or successful unauthorized access, use, modification or destruction of information or interference with systems operations in an information system. This does not include trivial incidents

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that occur on a daily basis, such as scans, “pings,” or unsuccessful attempts that do not penetrate computer networks or servers or result in interference with system operations. By no later than five (5) days after the contractor becomes aware of such incident, the contractor shall provide Young at Heart Resources’ Security Officer with a description of any remedial action taken to mitigate any harmful effect of such incident and a proposed written plan of action for approval that describes plans for preventing any such future security incidents.

The contractor shall report to Young at Heart Resources’ HIPAA Officer any unauthorized use or disclosure of Protected Health Information not permitted or required as stated herein immediately upon becoming aware of such use or disclosure and shall take immediate action to stop the unauthorized use or disclosure. By no later than five (5) calendar days after the contractor becomes aware of any such use or disclosure, the contractor shall provide Young at Heart Resources’ HIPAA Officer with a written description of any remedial action taken to mitigate any harmful effect of such disclosure and a proposed written plan of action for approval that describes plans for preventing any such future unauthorized uses or disclosures.

The contractor shall report to Young at Heart Resources’ HIPAA Officer any breach immediately upon becoming aware of such incident and shall take immediate action to stop the continuation of any such incident. By no later than five (5) days after the contractor becomes aware of such incident, the contractor shall provide Young at Heart Resources’ HIPAA Officer with a description of the breach, the information compromised by the breach, and any remedial action taken to mitigate any harmful effect of such incident and a proposed written plan for approval that describes plans for preventing any such future incidents.

The contractor’s reports required in the preceding paragraphs shall include the following information regarding the security incident, improper disclosure/use, or breach, (hereinafter “incident”):

- a. The name, address, and telephone number of each individual whose information was involved if such information is maintained by the contractor;
- b. The electronic address of any individual who has specified a preference of contact by electronic mail;
- c. A brief description of what happened, including the date(s) of the incident and the date(s) of the discovery of the incident;
- d. A description of the types of Protected Health Information involved in the incident (such as full name, Social Security Number, date of birth, home address, account number, or disability code) and whether the incident involved Unsecured Protected Health Information; and
- e. The recommended steps individuals should take to protect themselves from potential harm resulting from the incident.

Notwithstanding any provisions of the Terms and Conditions attached hereto, in order to meet the requirements under HIPAA and the regulations promulgated thereunder, the contractor shall keep and retain adequate, accurate, and complete records of the documentation required under these provisions for a minimum of six (6) years as specified in 45 CFR Part 164.

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Contractor shall not directly or indirectly receive remuneration in exchange for any Protected Health Information without a valid authorization.

If the contractor becomes aware of a pattern of activity or practice of Young at Heart Resources that constitutes a material breach of contract regarding Young at Heart Resources' obligations under the Business Associate Provisions of the contract, the contractor shall notify the Security Officer of the activity or practice and work with Young at Heart Resources to correct the breach of contract.

The contractor shall indemnify Young at Heart Resources from any liability resulting from any violation of the Privacy Rule or Security Rule or Breach arising from the conduct or omission of the contractor or its employee(s), agent(s) or subcontractor(s). The contractor shall reimburse Young at Heart Resources for any and all actual and direct costs and/or losses, including those incurred under the civil penalties implemented by legal requirements, including but not limited to HIPAA as amended by the Health Information Technology for Economic and Clinical Health Act, and including reasonable attorney fees, which may be imposed upon Young at Heart Resources under legal requirements, including but not limited to HIPAA's Administrative Simplification Rules, arising from or in connection with the contractor's negligent or wrongful actions or inactions or violations of this Agreement.

Obligations of Young at Heart Resources:

Young at Heart Resources shall notify the contractor of limitation(s) that may affect the contractor's use or disclosure of Protected Health Information, by providing the contractor with Young at Heart Resources' notice of privacy practices in accordance with 45 CFR 164.520.

Young at Heart Resources shall notify the contractor of any changes in, or revocation of, authorization by an Individual to use or disclose Protected Health Information.

Young at Heart Resources shall notify the contractor of any restriction to the use or disclosure of Protected Health Information that Young at Heart Resources has agreed to in accordance with 45 CFR 164.522.

Young at Heart Resources shall not request the contractor to use or disclose Protected Health Information in any manner that would not be permissible under HIPAA and the regulations promulgated thereunder.

Expiration/Termination/Cancellation - Except as provided in the subparagraph below, upon the expiration, termination, or cancellation of the contract for any reason, the contractor shall, at the discretion of Young at Heart Resources, either return to Young at Heart Resources or destroy all Protected Health Information received by the contractor from Young at Heart Resources, or created or received by the contractor on behalf of Young at Heart Resources, and shall not retain any copies of such Protected Health Information. This provision shall also apply to Protected Health Information that is in the possession of subcontractor or agents of the contractor.

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In the event Young at Heart Resources determines that returning or destroying the Protected Health Information is not feasible, the contractor shall extend the protections of the contract to the Protected Health Information for as long as the contractor maintains the Protected Health Information and shall limit the use and disclosure of the Protected Health Information to those purposes that made return or destruction of the information infeasible. If at any time it becomes feasible to return or destroy any such Protected Health Information maintained pursuant to this paragraph, the contractor must notify Young at Heart Resources and obtain instructions from Young at Heart Resources for either the return or destruction of the Protected Health Information.

Breach of Contract – In the event the contractor is in breach of contract with regard to the business associate provisions included herein, the contractor agrees that in addition to the requirements of the contract related to cancellation of contract, if Young at Heart Resources determines that cancellation of the contract is not feasible, Young at Heart Resources may elect not to cancel the contract, but Young at Heart Resources shall report the breach of contract to the Department of Health and Senior Services.

The Contractor must certify their current business status by completing either Box A or Box B on this Exhibit.

BOX A: To be completed by a non-business entity as defined below.

BOX B: To be completed by a business entity who has not yet completed and submitted documentation pertaining to the federal work authorization program as described at

Business entity, as defined in section 285.525, RSMo, pertaining to section 285.530, RSMo, is any person or group of persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood.

The term “**business entity**” shall include but not be limited to self-employed individuals, partnerships, corporations, contractors, and subcontractors.

The term “**business entity**” shall include any business entity that possesses a business permit, license, or tax certificate issued by the state, any business entity that is exempt by law from obtaining such a business permit, and any business entity that is operating unlawfully without such a business permit.

The term “**business entity**” shall not include a self-employed individual with no employees or entities utilizing the services of direct sellers as defined in subdivision (17) of subsection 12 of section 288.034, RSMo.

Note: Regarding governmental entities, business entity includes Missouri schools, Missouri universities (other than stated in Box C), out of state agencies, out of state schools, out of state universities, and political subdivisions. A business entity does not include Missouri state agencies and federal government entities.

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BOX A – CURRENTLY NOT A BUSINESS ENTITY

I certify that _____ (Company/Individual Name) **DOES NOT CURRENTLY MEET** the definition of a business entity, as defined in section 285.525, RSMo pertaining to section 285.530, RSMo as stated above, because: (check the applicable business status that applies below)

- I am a self-employed individual with no employees; **OR**
- The company that I represent employs the services of direct sellers as defined in subdivision (17) of subsection 12 of section 288.034, RSMo.

I certify that I am not an alien unlawfully present in the United States and if _____ (Company/Individual Name) is awarded a contract for the services requested herein under _____ (Contract Title) and if the business status changes during the life of the contract to become a business entity as defined in section 285.525, RSMo pertaining to section 285.530, RSMo then, prior to the performance of any services as a business entity, _____ (Company/Individual Name) agrees to complete Box B, comply with the requirements stated in Box B and provide Young at Heart Resources all documentation required in Box B of this exhibit.

Authorized Representative's Name
(Please Print)

Authorized Representative's Signature

Company Name (if applicable)

Date

Complete the following if you DO meet the Business Entity Definition

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BOX B-CURRENT BUSINESS ENTITY

I certify that _____ (Business Entity Name) **MEETS** the definition of a business entity as defined in section 285.525, RSMo pertaining to section 285.530.

Authorized Business Entity
Representative's Name
(Please Print)

Authorized Business Entity
Representative's Signature

Business Entity Name

Date

E-Mail Address

As a business entity, the contactor must perform/provide each of the following. The contractor should check **each** to verify completion/submission of all of the following:

- Enroll and participate in the E-Verify federal work authorization program with respect to the employees hired after enrollment in the program who are proposed to work in connection with the services required herein; AND
- Provide documentation affirming said company's/individual's enrollment and participation in the E-Verify federal work authorization program. Documentation shall include EITHER the E-Verify Employment Eligibility Verification page OR a page from the E-Verify Memorandum of Understanding (MOU) listing the Contractor's name and the MOU signature page completed and signed, at minimum, by the contractor and the Department of Homeland Security – Verification Division. If the signature page of the MOU lists the Contractor's name and company ID, then no additional pages of the MOU must be submitted; AND
- Submit a completed, notarized Affidavit of Work Authorization provided on the next page of this Exhibit.

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AFFIDAVIT OF WORK AUTHORIZATION:

The contractor who meets the section 285.525, RSMo, definition of a business entity must complete and return the following Affidavit of Work Authorization.

Comes now _____ (Name of Business Entity Authorized Representative) as _____ (Position/Title) first being duly sworn on my oath, affirm _____ (Business Entity Name) is enrolled and will continue to participate in the E-Verify federal work authorization program with respect to employees hired after enrollment in the program who are proposed to work in connection with the services related to contract(s) with Young at Heart Resources for the duration of the contract(s), if awarded in accordance with subsection 2 of section 285.530, RSMo. I also affirm that _____ (Business Entity Name) does not and will not knowingly employ a person who is an unauthorized alien in connection with the contracted services provided under the contract(s) for the duration of the contract(s), if awarded.

In Affirmation thereof, the facts stated above are true and correct. (The undersigned understands that false statements made in this filing are subject to the penalties provided under section 575.040, RSMo.)

Authorized Representative's Signature

Printed Name

AFFIDAVIT OF WORK AUTHORIZATION:

The contractor who meets the section 285.525, RSMo, definition of a business entity must complete and return the following Affidavit of Work Authorization.

Comes now _____ (Name of Business Entity Authorized Representative) as _____ (Position/Title) first being duly sworn on my oath, affirm _____ (Business Entity Name) is enrolled and will continue to participate in the E-Verify federal work authorization program with respect to employees hired after enrollment in the program who are proposed to work in connection with the services related to contract(s) with Young at Heart Resources for the duration of the contract(s), if awarded in accordance with subsection 2 of section 285.530, RSMo. I also affirm that _____ (Business Entity Name) does not and will not knowingly employ a person who is an unauthorized alien in connection with the contracted services provided under the contract(s) for the duration of the contract(s), if awarded.

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In Affirmation thereof, the facts stated above are true and correct. (The undersigned understands that false statements made in this filing are subject to the penalties provided under section 575.040, RSMo.)

Authorized Representative's Signature

Printed Name

Title

Date

E-Mail Address

E-Verify Company ID Number

Subscribed and sworn to before me this _____ of _____. I am
(DAY) (MONTH, YEAR)
commissioned as a notary public within the

County of _____, State of _____,
(NAME OF COUNTY) (NAME OF STATE)

and my commission expires on _____.
(DATE)

Signature of Notary

Date

2. SUPPORTING INFORMATION

2.1 PROVIDER PROFILE

Participating Organization: _____
Corporation Type: _____ For Profit _____ Not for Profit

Central Office Address: _____

Phone number: _____ Fax Number: _____

Email Address: _____ Web Address: _____

Office Hours: _____

Director: _____ Director Email Address: _____

Satellite Office Address: _____

Phone number: _____ Fax Number: _____

Email Address: _____ Web Address: _____

Office Hours: _____

Director: _____ Director Email Address: _____

Designated Manager: _____ Phone Number: _____

Designated Manager Email Address: _____

Registered Nurse: _____ Phone Number: _____

Registered Nurse Email Address: _____

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Designated Billing Manager: _____ Phone Number: _____

Designated Billing Manager Email Address: _____

Please list the rate you would charge an individual's paying privately for the following services.

Service	Private Pay Rate
Homemaker	
Personal Care	
Respite	

In the section below, please list those individuals in your organization who will require access to Aging IS, along with their title.

Individuals Name	Position

In the section below, please list the individuals within your organization who will require access to Vault Mail or other secure systems, and include their respective titles

Individuals Name	Position

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To gain information and a better understanding of the Participating Organization's experience please answer the following:

Your experience in providing in-home services:

How many years of experience do you have:

What other federal or state contracts you work with:

Do you employ multi-lingual staff? Yes, No

If not, what do you use for the purpose of translation for individuals with limited English proficiency?

How many years of experience do your key staff have in providing in-home services?

Add any other information that you would like to add about your organization:

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PARTICIPATING ORGANIZATION: _____

Corporation Type: _____ For Profit _____ Not for Profit

CENTRAL OFFICE ADDRESS: _____

Phone number: _____ Fax Number: _____

Toll Free Number: _____ Email Address: _____

Web Address: _____ Office Hours: _____

Director: _____ Director Email Address: _____

SATELITE OFFICE ADDRESS: _____

Phone number: _____ Fax Number: _____

Toll Free Number: _____ Email Address: _____

Web Address: _____ Office Hours: _____

Director: _____ Director Email Address: _____

Designated Manager: _____

Phone number: _____ Email Address: _____

Registered Nurse: _____

Phone number: _____ Email Address: _____

Designated Billing Manager: _____

Phone number: _____ Email Address: _____

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Please list the rate you would charge an individual's paying privately for the following services.

Service	Private Pay Rate
Homemaker	
Personal Care	
Respite	

In the following area please list those individuals in your organization who will require access to Aging IS, along with their title.

Individuals Name	Position

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2.2 SERVICE COMMITMENT AREA

Please place a check mark in which service and county(ies) you wish to provide services.

COUNTY	BASIC HOMEMAKER (HC)	BASIC PERSONAL CARE (PC)	BASIC RESPITE
Andrew			
Atchison			
Buchanan			
Caldwell			
Clinton			
Daviess			
DeKalb			
Gentry			
Grundy			
Harrison			
Holt			
Linn			
Livingston			
Mercer			
Nodaway			
Putnam			
Sullivan			
Worth			

I hereby agree to provide in-home service delivery for the county(ies) above and understand service must be provided throughout the entire county(ies). I understand and agree that if at any time my commitment area changes, I must submit an amended II.2 Service of Commitment Area form.

Provider Name	Date
Authorized Signature	Title

2.3 SCOPE OF WORK

1.0 PURPOSE

To provide for the delivery of in-home services, as authorized by Young at Heart Resources, formerly known as the Northwest Missouri Area Agency on Aging, to participants within the residential setting in compliance with 19 CSR 15-7.201, 19 CSR 15-7.010, all other applicable federal and state laws and the requirements of this “Participation Agreement.”

2.0 DELIVERABLES

2.1 The provider shall deliver in-home services to participants, 60 years of age or older, in the provider’s designated service area when authorized by Young at Heart Resources. The provider shall request authorization to add or discontinue any in-home services authorized by Young at Heart Resources. The provider agrees and understands that no change in the service area shall take place prior to Young at Heart Resources approval and authorization of the proposed change.

2.2 The provider shall deliver services to participants as authorized by Young at Heart Resources and accepted by the provider on a dependable and regular basis, and all services shall be delivered strictly in accordance with the participant’s care plan as approved by Young at Heart Resources.

2.3 The provider further understands and agrees that Young at Heart Resources retains the right to terminate services with the provider when there has been a determination of cause and/or at the request of the participant. Participants who have authorized services through Young at Heart Resources have the right to utilize the provider of their choice, and Young at Heart makes no representation regarding the number of clients who will choose the service provider.

2.4 The provider shall at all times maintain the ability to be in contact with all authorized participants and Young at Heart Resources which shall mean at a minimum:

2.4.1 Maintain a business telephone number that is answered twenty-four (24) hours a day, seven (7) days a week, identifying the provider’s name. The provider shall not use telephone services intended to block or restrict incoming calls.

2.4.2 Maintain a principal place of business that is open for business and has staff on-site during posted business hours. The provider understands and agrees that business hours for its principal place of business and satellite offices shall be conspicuously posted. Satellite office(s) that are neither intended to serve authorized participants nor are serving authorized participants do not need to be reported to Young at Heart Resources.

2.4.3 Posting and distributing its business hours to all authorized participants being served by the provider, which should include the participant’s representative and Young at Heart Resources. This should ensure effective communication and dependable flow of the service delivery.

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2.4.4 Provide all authorized participants, participant representatives, and Young at Heart Resources, the provider's business telephone number (s), exact street address, including any apartment or suite number, and business hours of the principal place of business and satellite offices, if applicable.

2.4.5 Inform all authorized participants, participant representatives, and Young at Heart Resources of any and all changes regarding the name of the business, address of the principal place of business or satellite office(s), business hours, ownership, telephone number(s), fax number(s), and e-mail addresses of the principal place of business. Notification shall take place thirty (30) business days prior to any change.

2.4.6 Maintain internet access for data entry in "AgingIS" and Vault Mail, or other secure system, as required by Young at Heart Resources. Vault Mail should be utilized for sending and receiving confidential information. Any communication containing confidential information not sent through a secure email or Vault Mail will not be considered delivered or accepted and will be considered in breach of contract and in violation of the HIPAA standards and will be reported to Young at Heart Resources, HIPAA officer. Upon request, from the provider, Young at Heart Resources will send secure email to the provider, to attach confidential information and return it to Young at Heart Resources staff.

2.4.7 The provider understands and agrees that documents authorizing services are considered delivered once sent by email, USPS, or fax; and services must commence within ten (10) business days. The provider understands and agrees that Vault Mail, email, fax and mail will be checked daily by the provider, during regular business hours, for client inquiry, authorizations and care plan changes. When the provider receives notification of inquiry, authorization or changes in care plan they will respond to the appropriate staff within three (3) business days. If the provider does not respond then Young at Heart Resources staff will find another provider to prevent a break in services. Provider understands that client data will be uploaded to AgingIS and must be downloaded and printed from there.

2.4.8 The provider understands and agrees that if at any time they cannot provide the authorized services to the participant for any reason, the provider shall notify Young at Heart Resources immediately. If the provider is unable to provide services within ten (10) business days of accepting the participant then the provider should contact Young at Heart Resources designated care coordinator and Young at Heart Resources will find another provider to prevent a break in services for the participant. When the provider is aware that the participant is not available to receive services then the provider must notify Young at Heart Resources within (3) business days by phone, secure email or fax and document in AgingIS of the change in status. Young at Heart Resources will make every effort to find a provider that can provide the service to prevent a break in service. The provider understands that once the staffing issue is remedied the provider must contact Young at Heart prior to resuming any services to the participant. Young at Heart Resources will contact the participant to inquire if they wish to return to the previous provider or remain with the current provider. Providers will notify the Young at Heart Resources staff member within three (3) business days if the participant is hospitalized, moved to long term care or if the participant is deceased.

3.0 TELEPHONY

3.1 Using a telephone tracking system, as required by RSMo 660.023(1), will be acceptable as documentation of delivery of in-home services, as required by Chapter 660 of the Missouri Revised Statutes Section 660.023. If the provider chooses to use that tracking system, the program must maintain the minimums of recording the exact date of service delivery, the exact time the service began and ended, and verify that the telephone number from which the services were registered is unique to the participant. If the participant's telephone service is not in service, worker must verify the time spent delivering the authorized in-home services by using a paper timesheet containing the time and service, signed by the participant.

3.2 When utilizing Electronic Visit Verification Systems, the exact minutes of clock-in and clock-out are recorded; and they do not add up to full and equal units. Partial units are defined as the delivered minutes of a service that do not considered a billable unit of service. Partial units can be accrued and billed, as long as the total authorization of units are not exceeded. Accrued minutes should be totaled and added to the end of the billing cycle, when possible. Partial units can also be used, as cash match for your grant.

3.3 The provider understands and agrees that, when a paper timesheet is used to bill for a delivered service, the timesheet must contain what service is being billed, date, time the worker began the delivery of service and time the worker completed the delivered service. The timesheet must contain the original signature of the participant, verifying that services were delivered. The timesheet should not be signed until the delivery of service has been completed. If the timesheet was not signed on the day the service was delivered, an explanation must accompany the timesheet.

3.4 The provider should have the ability to produce reports of services delivered, tasks performed, participant identity, beginning and ending times of service and date of service in summary fashion that constitute adequate documentation of service.

3.5 The provider must maintain a reliable backup and recovery processes that ensure that all data is preserved and accessible in the event of system malfunction or disaster.

4.0 AGINGIS REQUIREMENTS

4.1 Providers agree and understand that an AgingIS seat must be acquired from Young at Heart Resources. Providers are responsible for data entry of service units online in AgingIS. Exceptions may be approved by the CEO or designee on a case-by-case basis, for cause. The provider understands that it will be billed quarterly for access to AgingIS at a rate of two hundred one dollars and fifty cents (\$263.26) per quarter. Use of a secure email system is required for the transmission of confidential information. The secure email program Vaultmail is available at a rate of twenty-five dollars and twenty-five cents (\$22.25) per quarter; however, Vaultmail is optional if the provider already has an approved secure email system in place **or** plans to use client account numbers and upload required information directly into AgingIS. Please select one

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option: **AgingIS only** – \$263.26 per quarter or **AgingIS with Vaultmail** – \$285.51 per quarter. The provider agrees that upon the receipt of the Young at Heart Resources invoice it will reimburse Young at Heart Resources for the cost of the seat. If reimbursement is not received by the designated time, it may cause a delay in reimbursement. If a second notice is given and there is no response, the provider understands that its reimbursement may be reduced.

4.2 If authorized in-home participants do not receive all of the authorized services, the provider must note under client notes in AgingIS why there was no delivery of authorized services. If the provider does not enter the reason for non-delivery of service, all billable units for that participant may be denied.

4.3 If the provider is aware of a change of information for a participant, e.g. phone number or address, the provider must change that information and notify Young at Heart Resources. The provider may use regular email and use the client ID number only to notify Young at Heart Resources stating, “There has been a change in status for participant # _____.”

5.0 CONDITIONS FOR PAYMENT

5.1 Young at Heart Resources shall only compensate the provider for units authorized by the Young at Heart Resources staff. The provider will not be reimbursed for units which were not authorized prior to the delivery of service.

5.2 No payment will be made to the provider for any units for which the provider has been paid by another source.

5.3 If the provider fails to bill for service received in the prior month, the provider must bill Young at Heart Resources separately with documentation that the units were provided and were not paid for by another source. The documentation must be provided to the fiscal assistant for journal entry into Aging IS, before the end of the following month to be reimbursable to the provider. Documentation shall include signed timesheet or Telephony report, with dates and times the services were provided, and a statement from the provider that services were not paid for by any other funding and signed by the director, CEO of the organization, or an authorized signer for the billing. A corrected invoice will be made and sent to the provider for signature and returned to Young at Heart Resources for payment. Reimbursement shall be forfeited for any units more than sixty (60) business days old. Those units may be used as cash match to the grant and may not be billed to the participant.

5.4 Young at Heart Resources will generate an invoice and email the invoice to the provider after all unit entry into AgingIS has been reviewed. The provider must sign and return it by email before payment can be made for services delivered by the provider, in each calendar month in which this agreement is effective.

5.5 All invoices must be submitted to Young at Heart Resources by email to:

Kelsey Swinderman kswinderman@yahresources.org

Deena McCallon dmccallon@yahresources.org

Penny Crawford pcrawford@yahresources.org

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5.6 If a provider receives an overpayment or underpayment, adjustments will be made on the following month's billing when possible. When adjustment is not possible, the provider, upon notification from Young at Heart Resources, the provider shall issue a check made payable to "Young at Heart Resources" and mail the check to Young at Heart Resources, P O Box 185, Cameron, MO 64429.

5.7 All data entry for delivered in-home units for the prior month shall be entered into AgingIS by the fourth (4th) day of the following month, unless an exception is approved and on file with Young at Heart Resources. If units are not logged by the fourth day of the month for the prior month, AgingIS access will be locked. Young at Heart Resources shall not be obligated to pay the provider with respect to an invoice unless all required components and reports have been received by the required deadlines and are complete and accurate. Year-end service units and invoices are due no later than July 15, 2027. Failure to submit units and invoices no later than July 15, 2027, may result in the non-payment for service units provided in the last month of the fiscal accounting year.

5.8 Payments under this agreement are earned only when authorized services have been provided to eligible recipients as assigned and the pro-rated match requirement of 15% has been met and reported. The pro-rated match shall not be charged to the participants as an out-of-pocket cost or co-payment or matched with other federal funding. Receipt of funds by the provider through reimbursement does not constitute the earning of those funds. The provider agrees to document, retain and make available upon request, documentation of match resources at a ratio identified above. Such match may be in the form of in-kind donations necessary to provide the service to participants. Cash match is provided by using the reimbursed rate and subtracting from your private pay rate, the difference is your cash match and will be calculated on your cash match documentation form. The cash match documentation form will be sent with the invoice for your review, signature, and returned with the invoice.

5.9 Young at Heart Resources will make the participant aware of the ability to contribute to the service and that service shall not be denied because of the inability to contribute. Young at Heart Resources will provide the participant with addressed envelopes which can be used for contributions. If the provider receives cash contributions for in-home services, those cash contributions shall be forwarded to Young at Heart Resources immediately after receipt, and be plainly marked for which service the contribution was given.

5.10 The provider understands and agrees a new general information form must be completed any time there is a change in the authorized signer for the billing/contracts or agreements.

6.0 STAFFING

6.1 The provider agrees and understands this agreement is predicated on the utilization of specific individual(s) and/or personnel qualifications as identified or defined in 19 CSR 15-7.010 and CSR 15-7.030.

6.1.1 The provider fully understands and agrees that Young at Heart Resources retains the right to determine for cause any employee who delivers services is unsuitable to deliver services to Young at Heart

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Resources participants, and thereby refuse to pay the provider for services delivered by such a person; and such refusal does not constitute a breach of this agreement.

6.2 The provider agrees to immediately contact Young at Heart Resources in writing whenever there is a name change, change of director, designated manager and/or registered nurse, ownership and/ or the location of the primary office.

6.3 The provider shall utilize employees who are registered, screened and eligible for employment pursuant to Sections 210.900-210936, 660.315 and 660-317, RSMo. Utilization of any person to provide services to Young at Heart Resources clients in violation of this provision shall constitute a material breach of the agreement. Any reimbursement for services performed in violation of this provision shall be refunded to Young at Heart Resources by the provider. The terms “person” and “employee” include employees, volunteers, interns and contract personnel.

6.4 The provider shall not employ any person in the capacity that is listed on the Employee Disqualification List (EDL) maintained by the Department of Health and Senior Services pursuant to Chapter 660, RSMo; and the provider agrees to verify that no staff are listed at any time during their employment. The provider shall maintain in its files verification of the EDL checks. Employment of an individual who is listed on the EDL shall constitute a material breach of this agreement. The terms “person” and “employee” include employees, volunteers, interns and contract personnel.

6.5 The provider agrees to maintain in its files documentation that verifies the adoption, implementation and enforcement of the following policies in recruiting, hiring and employing staff, and in utilizing volunteers:

6.5.1 All persons who provide services, who may provide services or who may otherwise have contact with a person receiving care or services from the provider, shall complete an employment application prior to such contact. The application shall include, at a minimum:

6.5.1.1 A question requiring disclosure of all criminal convictions, findings of guilt, pleas of guilty, and pleas of nolo contendere, except for minor traffic offenses.

6.5.1.2 A consent to a pre-employment criminal record check.

6.5.1.3 A consent to a closed records check pursuant to Section 610.120, RSMo.

6.5.1.4 A question requiring disclosure of all aliases and social security numbers used by the applicant.

6.6 The provider shall maintain in its files copies of all screening information to document that screening was conducted in compliance with Sections 660.315 and 660.317, RSMo.

6.7 Family Care Safety Registry and EDL checks shall be performed for all aliases and social security numbers used by the applicant at the time of hire, and quarterly.

6.8 If the provider utilizes a private investigatory agency to conduct background screenings, the provider will utilize only those private investigatory agencies that are able to comply provisions of this agreement and the

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requirements set forth in Sections 210.900-210.936, 660.317 and 43.530-43.540, RSMo. The provider will maintain in its file copies of all documents provided to the private investigatory agency, all documents evidencing the screening that was conducted, including a copy of the request and search made by the private investigatory agency, and all documents received from the private investigatory agency.

6.9 The provider shall be responsible for verifying whether the certifications, licenses and degrees of all its personnel and those of its subcontracted personnel are current and in good standing, as required by state, federal or local law, statute or regulation, respective to the services to be provided through this agreement; and documentation of such licensure or certification or degrees shall be maintained by the provider and made available to Young at Heart Resources or its designee upon request.

6.10 Pursuant to RSMo 285.530 (1) no contractor or subcontractor shall knowingly employ, hire for employment, or continue to employ an unauthorized alien to perform work within the state of Missouri. In accordance with RSMo 285.525 to 285.550, a general contractor or subcontractor of any tier shall not be liable when such contractor or subcontractor contracts with its direct subcontractor who violates RSMo 285.530 (1), if the contract binding the contractor and subcontractor affirmatively states that:

6.10.1 The direct subcontractor is not knowingly in violation of RSMo 285.530 (1) and

6.10.2 Shall not henceforth be in such violation and

6.10.3 The contractor receives from the subcontractor a sworn affidavit under the penalty of perjury attesting to the fact that the direct subcontractor's employees are lawfully present in the United States.

6.11 Affidavit of Work Authorization and Documentation: Pursuant to 285.530 RSMo, the organization must affirm its enrollment and participation in a federal work authorization program with respect to the employees proposed to work in connection with the services requested herein by:

6.11.1 Submitting a completed, notarized copy of the "Affidavit of Work Authorization" and

6.11.2 Providing documentation affirming the organization's enrollment and participation in a federal work authorization program with respect to the employees proposed to work in connection with the services requested herein.

7.0 SPECIAL PROVISIONS

7.1 The provider agrees and understands that refusal or failure to deliver services in accordance with the care plan to any client authorized by Young at Heart Resources and accepted by the provider may constitute a breach of the agreement unless prior approval has been obtained from Young at Heart Resources. It shall be deemed a material breach of this agreement for the provider to limit its acceptance of clients for service to any particular group or subgroup of participants.

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7.2 In the event the provider maintains this agreement for six (6) consecutive months without accepting for service or actually delivering service to at least one (1) client, Young at Heart Resources reserves the right to cancel this agreement upon thirty (30) day written notice.

7.3 The provider agrees that failure to submit all the information as required shall make the provider ineligible for consideration for a new participation agreement until the provider submits all the information it failed to submit, along with a complete new proposal, and Young at Heart Resources conducts a site visit.

7.4 The provider shall not assign any interest in this agreement and shall not transfer any interest whatsoever in this agreement. The provider shall make full, complete and accurate disclosure of its business organization.

7.5 The provider shall notify Young at Heart Resources at least ten (10) business days before a change of ownership of the provider's business. Change of ownership shall be defined as:

7.5.1 Partnership--a deletion, substitution, or addition of a general partner

7.5.2 Proprietary Corporation--the sale of stock to another entity acquiring five (5) percent or more of the outstanding stock shares, or the sale of the majority of corporate assets to any party.

7.5.3 Sole Proprietorship--the sale or transfer of any part of the business to any other person or business entity equal to or exceeding five (5) percent of the provider's business.

7.5.4 Limited Liability--the deletion, substitution, or addition of any investing person or business entity by sale, lease, or transfer of any kind.

7.6 The provider shall not allow any official or employee of Young at Heart Resources, nor any other public official of the State of Missouri who exercises any functions or responsibilities in the review or approval of the undertaking or carrying out of the services covered by this agreement, to acquire any interest, pecuniary or otherwise, in this agreement; and the establishment with or transfer of such interest to such an official or state employee shall constitute a material breach of this agreement.

7.7 The provider warrants that it presently has no interest and shall not acquire any interest, directly or indirectly, which would conflict in any manner or degree with the performance of the services hereunder. The provider further warrants that no person having such an interest shall be employed or conveyed an interest, directly or indirectly, in this agreement. Additionally, the provider agrees to immediately report any such conflict of interest to Young at Heart Resources and warrants that none now exists.

7.8 The provider shall have a policy to maintain a drug-free workplace.

7.9 The provider shall develop policies and a plan to work with Young at Heart Resources regarding service delivery during times of natural disasters such as earthquakes and floods and man-made disasters such as bombs and bioterrorism. The plan must include working with the provider's local emergency operation centers for the affected area.

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7.10 The provider shall maintain the insurance coverage required by 19 CSR 15-7.021. The provider herein authorizes its insurance carrier, broker, agent and/or premium finance company to release information concerning insurance coverage required by 19 CSR 15-7.021 upon the request of Young at Heart Resources.

7.11 The provider shall report to the Elder Abuse Hotline (1-800-392-0210) any instances of elder abuse, neglect, or exploitation pursuant to the RSMo Section 600 and the Child Abuse and Neglect Hotline (1-800-392-3738) any instances of child abuse, neglect, pursuant RSMo Section 210.

7.12 The provider agrees to perform all services under this agreement in compliance with this agreement and in compliance with all applicable state and federal statutes and all regulations lawfully promulgated by the department, including but not limited to 19CSR 15-7021 and 13 CSR 70-91.010, or by any state and federal agency, including any and all amendments to said regulations that may occur during the term of this agreement.

7.13 Young at Heart Resources shall monitor the provider for compliance, and findings of the monitoring shall be disclosed to the provider and, as necessary, a statement of deficiencies will be issued relating to the provider's compliance.

7.13.1 Within ten (10) calendar days of receipt of the statement of deficiencies, the provider shall submit to Young at Heart Resources an acceptable written plan of correction addressing the areas found to be out of compliance.

7.13.2 The provider shall correct the violations within forty-five (45) days of submission of the plan of correction.

7.13.3 Young at Heart Resources may impose sanctions, changes to the agreement and/or terminate this agreement, if violations are not corrected. 7.14 The provider's failure to allow Young at Heart Resources to monitor its performance under the terms of this agreement, the in-home service standards published at 19 CSR 15-7.021, and personal care standards published at 13 CSR 70-91.010 and Young at Heart Resources policies and procedures, shall constitute a material breach of the agreement.

7.15 The provider agrees and understands that, in the event that services are delivered by the provider and billed to Young at Heart Resources, they must also allow Young at Heart Resources to monitor all aspects of the delivery of service under the same terms and conditions as the monitoring of this agreement. Failure to allow the monitoring shall constitute a breach of this agreement.

7.16 The provider's principal place of business and/or satellite office(s), if applicable, shall have staff on the premises with access to the records during the hours of 8:00 a.m. to 4:30 p.m. at the request of Young at Heart Resources for the purpose of records examination.

7.17 The provider agrees, understands and acknowledges that when Young at Heart Resources authorizes services for individuals, those individuals are considered clients of Young at Heart Resources; and the purpose of this agreement for Young at Heart Resources is the orderly, efficient and dependable delivery of services to a population of clients who are vulnerable and at risk. Therefore, the provider agrees and

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understands that Young at Heart Resources reserves the right to unilaterally, but upon written notice, invoke changes in the agreement when it has cause to do so. The changes may be invoked by Young at Heart Resources upon material breach or for cause when the performance of the provider has impaired the purpose of this agreement.

7.18 The provider shall maintain records of all its activities pursuant to the agreement and applicable statutes and regulations. These records shall be legible, accurate, genuine and complete records of all its activities pursuant to the agreement and applicable statutes and regulations. These records shall include, but not be limited to, records to verify the delivery of services pursuant to the terms of this agreement and applicable statutes and regulations. The provider agrees to make all of its records, which in the judgment of the Young at Heart Resources are related in any way to the performance of this agreement, available for examination or copying without restriction, upon request of Young at Heart Resources or its designated representatives and to such federal and/or state agencies as may request such information. The provider also agrees that failure to comply with this provision shall be deemed a material breach of this agreement, and to repay Young at Heart Resources all amounts for any services, which are not adequately verified and fully documented by the provider's records.

7.19 The provider understands that adequate verification and full documentation shall mean that records are such that an orderly examination by a reasonable person is possible and can be conducted without the use of information extrinsic to the records, and that such an examination can readily determine that the provider's services were provided, including but not limited to the identity of the client, date, time, place, nature of the services and by whom services were provided. The provider shall keep adequate legible, genuine, accurate and complete records to verify the delivery of services pursuant to the terms of this agreement and applicable statutes and regulations for a minimum period of six (6) years following the agreement's expiration.

The undersigned, on behalf of the provider, assures compliance with the above.

Authorized Signature

Date signed